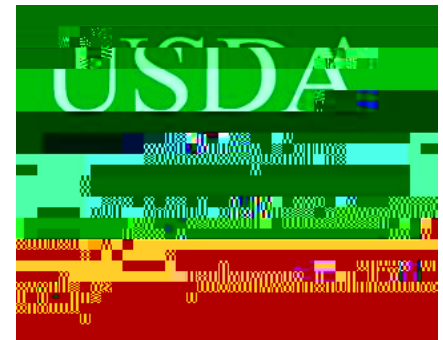
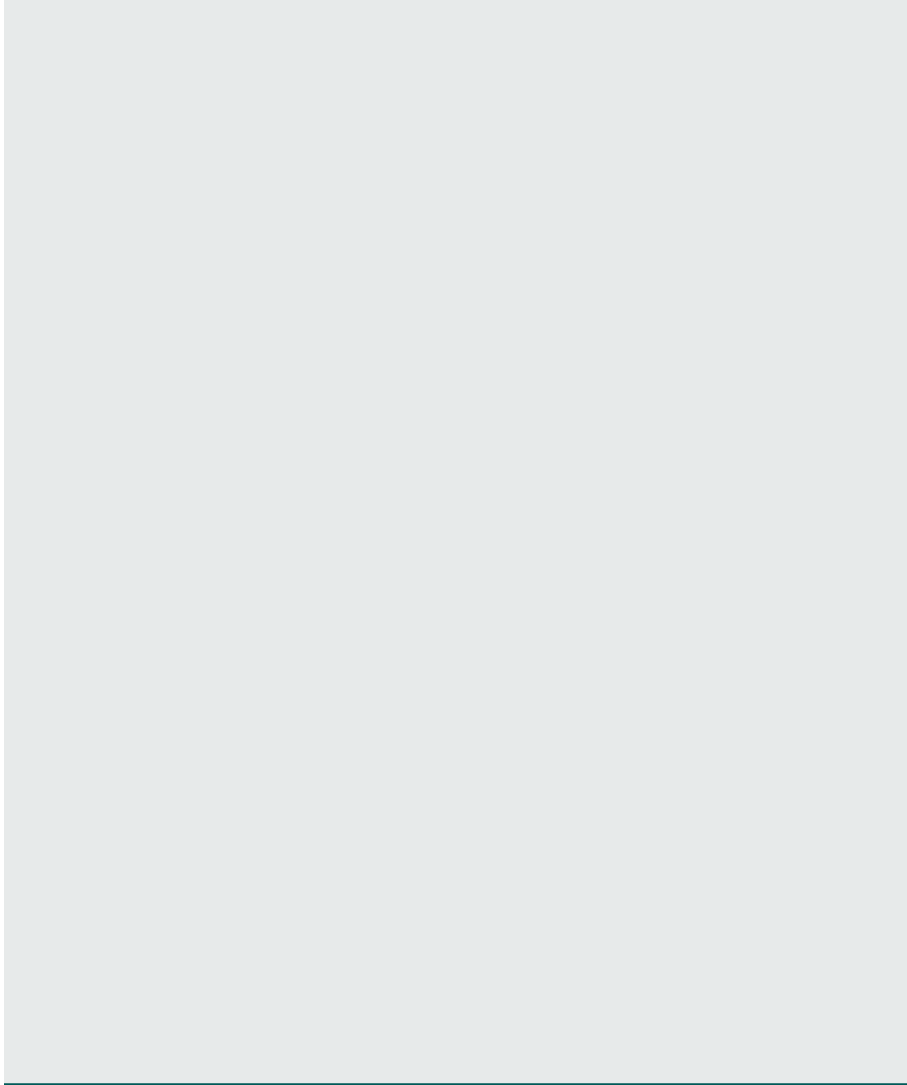




[The main body of the page is mostly blank, with some faint, illegible text visible in the upper left quadrant.]

- Established in 2001 at industry request
- Created in response to growing concern that fresh produce is safe to eat
- Developed in conjunction with FDA, AFVISA and state partners, industry groups, and academia
- Based on federal (FDA) guidelines and industry best practices
- Over time have incorporated buyer requirements, industry-specific requirements, and now federal regulation





Harmonized Audit Alignment

Addresses the minimum requirements of the Produce Safety Rule

Producer defines the scope of the audit

Auditees self report if they are subject to the rule

Requires the auditee and auditor to know and apply the requirements of the rule

Auditors

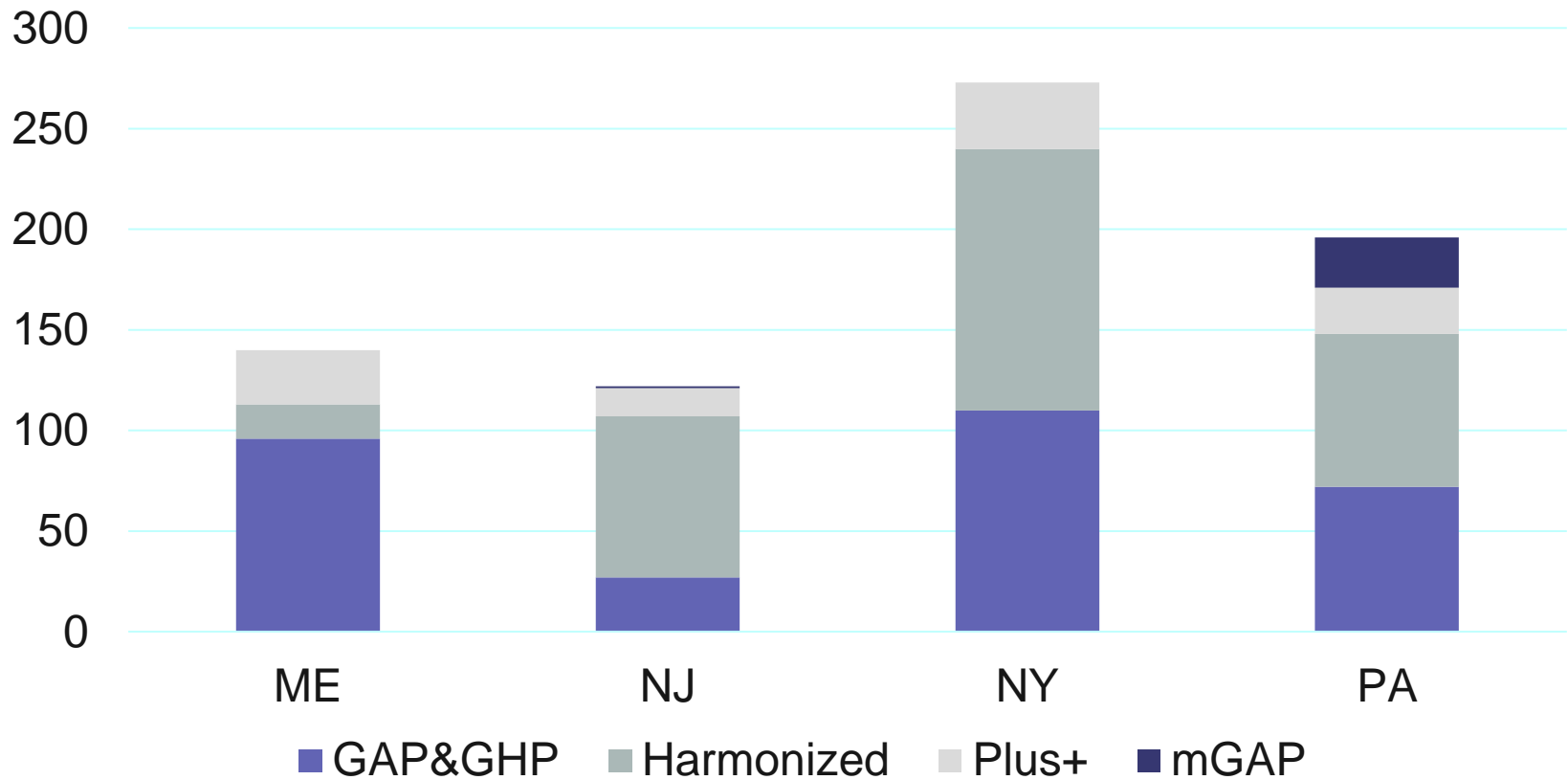




[The main body of the page is mostly blank, with a thick black horizontal line running across the top and another thick black horizontal line running across the bottom. There is no legible text or other content in this area.]

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	362
Harmonized GAP	349
Harmonized GAP Plus+	104
Mushroom GAP	29
Total	844

Audit Per State



1. Apples
2. Potatoes*
3. Squash**
4. Tomatoes
5. Peppers
6. Lettuce/Greens***
7. Cucumbers/Pickles
8. Cabbage
9. Corn
10. Berries****

Includes Potatoes and/or Sweet Potatoes

** Includes Summer Squash, Squash, Winter Squash, and/or Zucchini

*** Includes Leaf Lettuce, Lettuce, Leafy Greens, Microgreens, Mustard Greens, Collard Greens, and/or Romaine

**** Includes Blackberries, Blueberries, Cranberries, Raspberries, and/or Strawberries

Acceptance Criteria

The following table shows acceptance criteria for the USDA Organic and Marketing Service Field and Warehouse Inspections for the Specialty Crops Inspection Division. The table is based on the information provided in the Specialty Crops Inspection Division's Inspection Manual, Section 4.1.1. The table is based on the information provided in the Specialty Crops Inspection Division's Inspection Manual, Section 4.1.1.

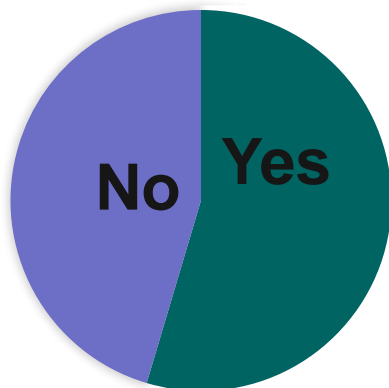
Name(s) of Audit Firm	Date Audit Conducted	Commodity Covered	Commodity Covered	Address	City	State	Audit Type
						United States	
						New York	
						Albany, New York	

Qualifications

Criteria	1.5%
Mean Findings	1.5 /audit
Median Findings	1 /audit

Top Non-Conformities	# of Audits
Traceability	58
Animals	30
Water	29
Suppliers	22
Calibration	21
Cleaning of Equipment/Tools	20
Handling of Harvested Produce	200**

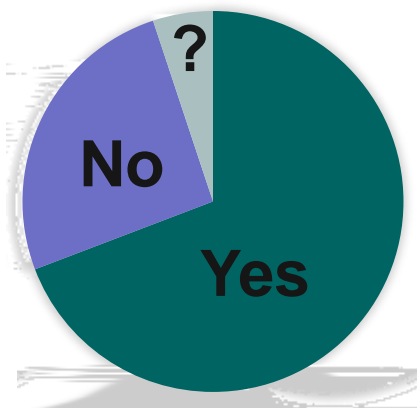
SUBJECT TO PSR?



**Due to the requirement to cover harvested produce

Criteria	4.2%
Mean Findings	0.5 /audit
Median Findings	0 /audit

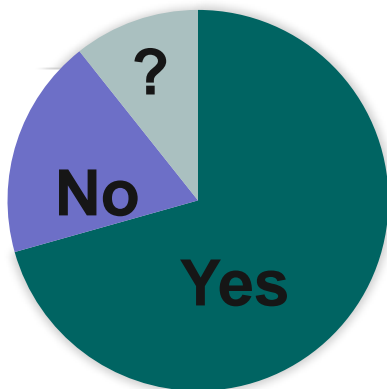
SUBJECT TO PSR?



Top Non-Conformities	
Water	24
Cleaning of Equipment/Tools	15
Suppliers	10
Chemical Contamination	8
Traceability	7

Criteria	9.3%
Mean Findings	1.0 /audit
Median Findings	0 /audit

SUBJECT TO PSR?

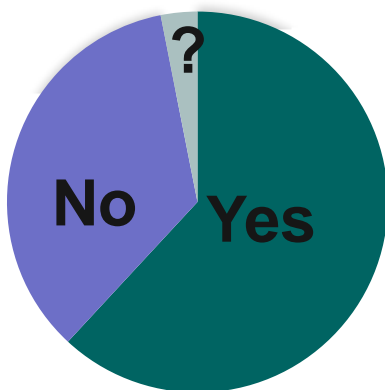


Top Non-Conformities	
Food Defense/Food Fraud	15
Corrective Action/Incident Management	8
Suppliers	8
Worker Health and Hygiene	7
Calibration	6
Water	6

Criteria	3.4%
Mean Findings	1.0 /audit
Median Findings	1 /audit

Top Non-Conformities	
Traceability	68
Water	59
Suppliers	40
Cleaning of Equipment and Tools	38
Animals	34
Calibration	29
Packing Materials	23
Handling Harvested Produce	210**

SUBJECT TO PSR?



**Due to the requirement to cover harvested produce



USDA Risk Management Agency's (RMA) Agricultural Management Assistance Program (7 U.S.C. 1524(b)) authorizes Secretary to provide financial assistance to producers in 16 states for specific activities

AMS and RMA partnering to allocate \$3.7 million in "bill credits" for USDA audits

* Funds are available for 2021, or longer depending on availability of remaining funds for future years.



Financial assistance limited to producers

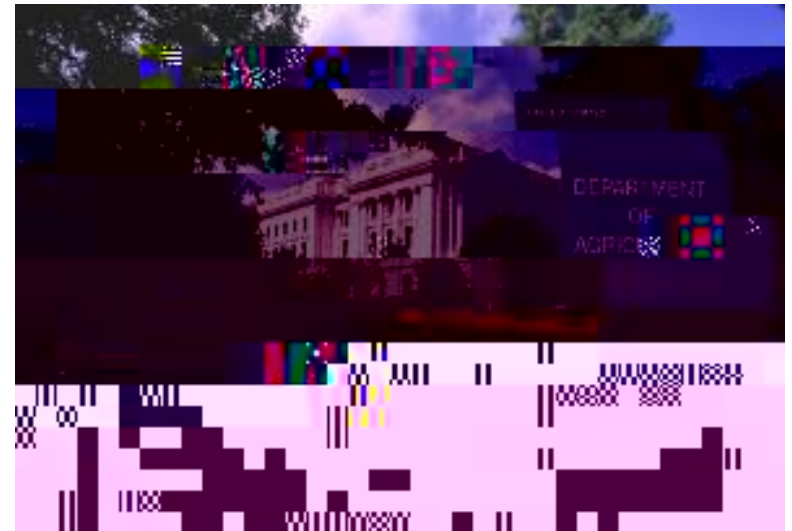
Cannot be used by owners of storage/transportation facilities, cross dock facilities, wholesale distribution centers, commercial packing/repacking operations

EXCEPT where producers own the entity, e.g., producer cooperatives and food hubs

Financial assistance is available only for the cost of the audit activities

- 1. Audit preparation time
 2. Travel time to and from audit site(s)
 3. Onsite audit activities
 4. Post audit activities to finalize audit report
 5. Follow-up* and unannounced verification activities
 6. SCI Division review, certification, and issuance activities
 7. Audit Uploads. If requested

 - * Financial assistance only available for one follow-up audit
-



Contact Information:
Audit Services Branch
Email: SCAudits@usda.gov
Phone: 202-720-5021

Audrey Draper
Email: Audrey.Draper@usda.gov /
Audrey.Draper@fda.hhs.gov
Phone: 202-720-9136

- Request an audit through your local audit office
Forms required:
 1. SC-237A Request fSC
-

SCI will issue a bill to the auditee which covers both

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	7
Harmonized GAP	2
Harmonized GAP Plus+	0
Mushroom GAP	0
Total	9

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	5
Harmonized GAP	2
Harmonized GAP Plus+	0
Mushroom GAP	0
Total	7

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	4
Harmonized GAP	26
Harmonized GAP Plus+	2
Mushroom GAP	1
Total	33

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	96
Harmonized GAP	17
Harmonized GAP Plus+	27
Mushroom GAP	0
Total	140

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	13
Harmonized GAP	7
Harmonized GAP Plus+	0
Mushroom GAP	0
Total	20

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	27
Harmonized GAP	80
Harmonized GAP Plus+	14
Mushroom GAP	1
Total	122

Audit Type	Number of Audits Conducted in FY 2020
USDA GAP&GHP	2
Harmonized GAP	0
Harmonized GAP Plus+	0
Mushroom GAP	0
Total	2
